

# Risk Assessment, Mitigation and Remediation Solutions



Enterprise Risk Management



Financial Close Management



Advanced Controls



Enterprise Audit



IT Controls Workbench



## FulcrumWay

Intelligent Integrated Instant Risk Management

*Celebrating 10 years of success*

## Financial Close Management

## Leverage Technology: Move Your Business Forward™

- **FulcrumWay:** is the **#1 End-to-End** Provider of Enterprise Risk Management Expertise, Solutions and Software Services for Oracle EBS, PeopleSoft and JDE customers with over 200 Fortune-500 to Middle Market clients. Since 2003, we have successfully assisted companies across all major industry segments.
- **Expertise:** Risk Advisory Services. Advanced Controls Design for Enterprise Business Applications. Best Practices for Risk Mitigation and Internal Controls Automation. Audit, Compliance, Financial, Enterprise and Operational Risk Assessments. Risk Remediation Services such as Segregation of Duties.
- **Packaged Solutions:** FulcrumWay is the #1 choice of Oracle customers for Oracle GRC Manager, GRC Controls and GRC Intelligence/OBIEE software implementation. Oracle has certified us as the only partner with Accelerators for Oracle GRC. We also provide Managed Services and Hosting for Oracle GRC applications.
- **Software Services:** Risk Management Tools: Enterprise Risk Manager, Financial Close Risk Manager, Risk Based Audit Manager, IT Risk Workbench, and Advanced Controls Catalog. Data Management Tools: Rules Repository, DataProbe™ adaptors and Data Hub.
- **USA Presence:** Privately held Delaware Corporation with US offices in New York City, Dallas and San Francisco
- **International Presence:** in Chennai, Dubai, Kampala, London, Rome, Santiago, Singapore

## Government



**BAE SYSTEMS**

## Oil and Gas



## Financial Services



## Retail

**Office DEPOT.**



## Communications



## Manufacturing



**HITACHI**  
Inspire the Next

## Industrial Equipment



**ArvinMeritor.**

## Natural Resources



## Media and Entertainment



**Reader's Digest**.com

## Healthcare



## High Tech



## Life Sciences



## COMPLIANCE WEEK



## Gartner



## Economist.com



## LinkedIn

## YouTube



### Thought Leadership

- **Co-Authored GRC Book:** First book on GRC for Oracle Applications
- **Executive Round Tables** – GRC Solutions for Energy Industry, Houston, November 2012
- **OAUG GRC Solution Lab** - April 7<sup>th</sup> – 11<sup>th</sup> Denver: GRC Case Studies and Best Practices
- **IIA** - Presentations - Top Five Reasons for Automating Application Controls
- **Collaborate 13** – GRC Client Appreciation Dinner **April 8<sup>th</sup>, 2013 Denver**
- **Webcasts** – GRC Best Practices, Trends and Expert Insight
- **Oracle Open World** – Annual GRC Dinner on **September 23<sup>rd</sup>, 2013 W Hotel San Francisco**
- **LinkedIn** – FulcrumWay Risk, Compliance and Audit Software Group
- **YouTube Podcasts** – FulcrumWay Instant Insight in 10 min or less

### Our Client

- Leading brokerage services firm specializing in credit derivative products, including single-entity credit default swaps, emerging market credit default swaps, credit indices, options on single-entity credit default swaps, options on credit indices, and credit index.
- The company was founded in 1987 and is headquartered in New York, New York with business primarily in North America, Europe, and Asia-Pacific.

### Challenges

- Reduce costs related to audit and controls assessments
- Reduce risk associated with the current state highly manual, fragmented process
- Need Enterprise-wide visibility into the Risk and Compliance processes
- Leverage investments in technology to provide a foundation for Enterprise Risk Management

### GRC Solutions

- GRC, ERM and Fin Governance Analytics
- Oracle GRC Manager

### Successes

- Improved management visibility into financial close controls by providing real time reporting on GL close tasks performance by financial managers across the regions.
- Reduced financial misstatement risk by replacing manual, spreadsheet based error-prone process with automated checklist
- Delivered Enterprise Risk Management framework by enabling management and process owners to set key risk objectives and monitor risk indicators using simple dashboards.
- Provided Risk Assessment Analytics to improve audit planning.